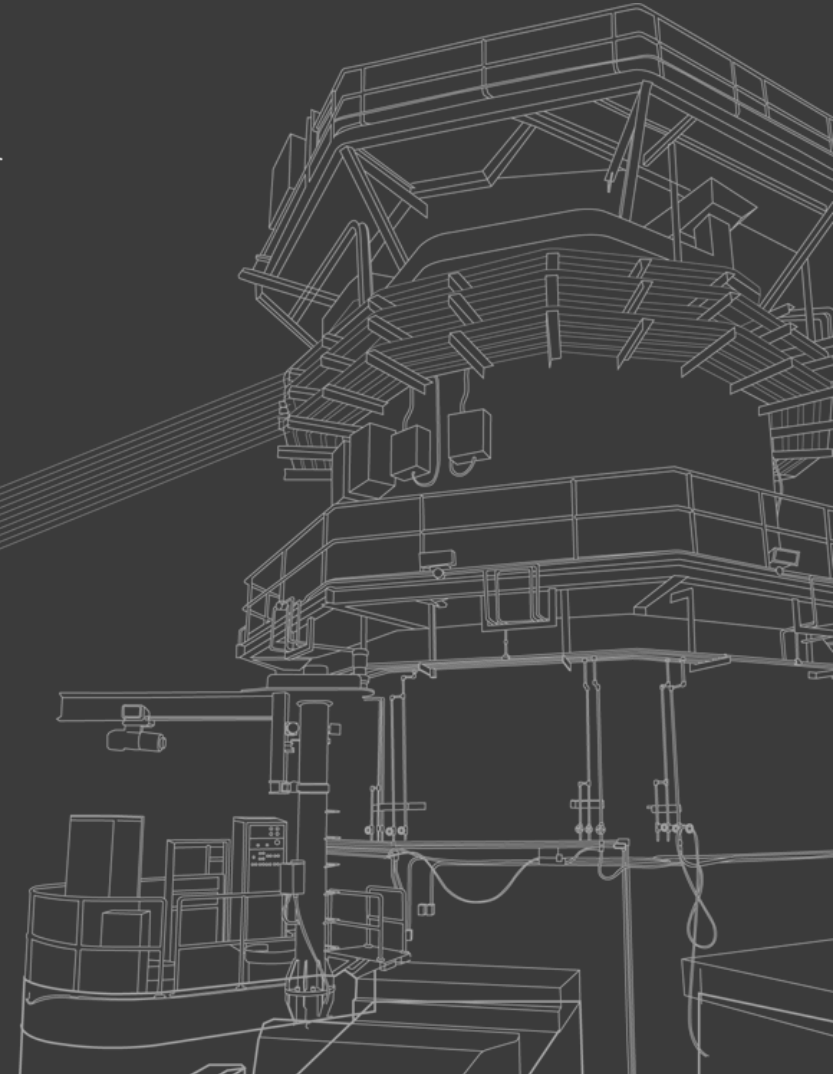
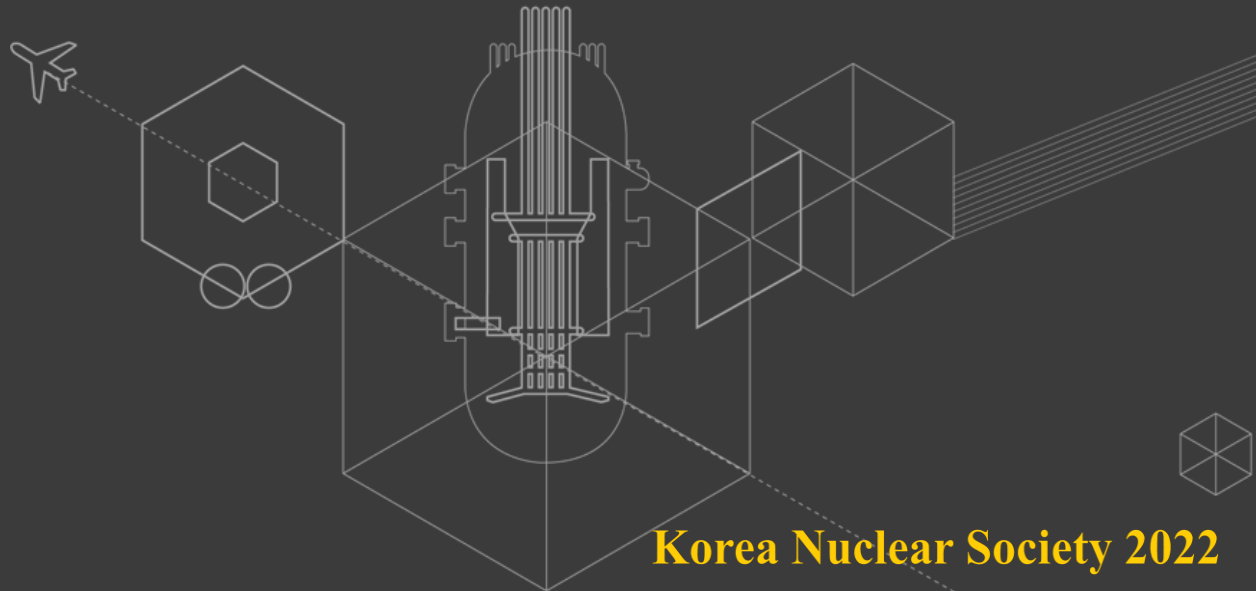


# Study on Virtual Thermometry used in Small Modular Reactor Using Dynamic Data Reconciliation.



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# **Study on Virtual Thermometry used in Small Modular Reactor Using Dynamic Data Reconciliation.**

# 1

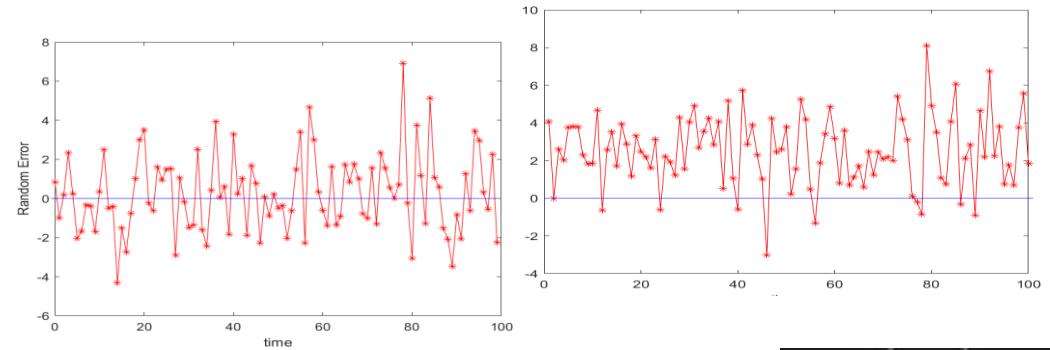
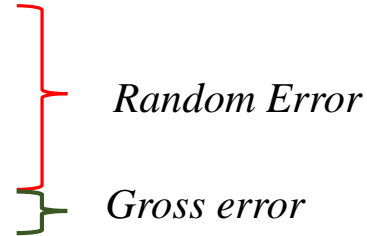
- INTRODUCTION
- DATA RECONCILIATION
- CASE STUDY
- RESULT
- CONCLUSION

# INTRODUCTION

❖ Process variables are important factor for representing the plant state.

✓ **Uncertainty of Instrument**

- Imperfect instruments which have their own accuracy.
- Signal transmission
- Power fluctuation
- Improper instrument installation
- Miscalibration
- Instruments malfunction



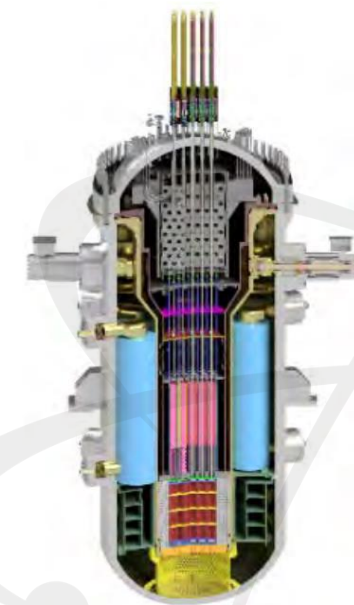
➤ Due to the impoverished data quality → the process performance and control is deteriorated.

❖ The uncertainty of process variables used for **Small Modular Reactors (SMRs)** is likely to be more increased

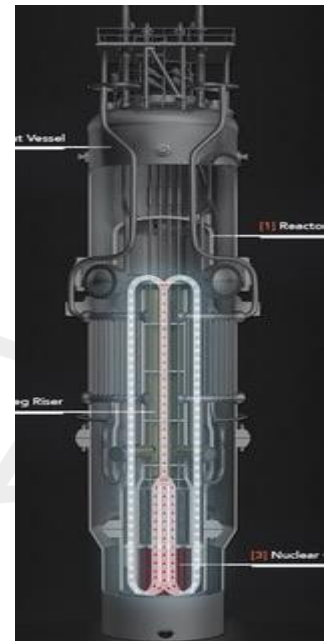
➤ Due to the **compact system size**, the **geometry** by changed system, and the **harsh environment** by the SMRs nature.

➤ Reduced the **diversity and redundancy** of instruments as well.

❖ The **estimation of accurate state** for SMR should be backup as a problem to be overcome in the future.



SMART  
Ref. IAEA, SMR book, 2020



NuScale  
Ref. nuscalepower.com

# INTRODUCTION

- ❖ In particular, it is not easy to estimate an accurate state in steady state, but the state estimation in dynamic state is even more difficult.
  - In other words, it is difficult for estimating accurate state in **transient state and load following operation in SMRs**.
    - There are limits to the efficient operation and control of SMRs.
    - It is affecting the safety of SMRs as well.
- ❖ In other to overcome this problem, **Dynamic Data Reconciliation(DDR)** is suitable method for estimating the accurate state in dynamic state by minimizing the uncertainty in physical model.
- ❖ Final goal of this study is for estimating the accurate state by minimizing the uncertainty of process variables applying the DDR technique.
- ❖ Prior to main study, an sensitivity analysis of measurement is performed by applying the DDR technique.
  - **The system state is continuously changed** due to **an inaccurate system model, measurement, and uncertainty of system parameters**.
  - Parameters from real-time acquisition system are accompanying **the uncertainty of the measurement** due to the **insufficient acquisition time**.

# Data Reconciliation

❖ Data Reconciliation(DR) is widely used the technique to minimize the uncertainty of process variables

❖ The DR is one of the *physics model based* solution to improve the impoverished quality data.

➤ Estimate the true value → Using Physical Model Constraints

*Condition: spatial redundancy of instrument in constraint is satisfied*

➤ Reconcile the Uncertainty → Using the Bayesian Update

} “Distinguishing Features of Data Reconciliation”

## Data Reconciliation

Least squared Function

$$\min(\hat{x}, \hat{y}) = (x - \hat{x})^T V(x - \hat{x})$$

$$f(\hat{x}, \hat{y}) = 0 \quad \triangleright \text{First Principle}$$

$$g(\hat{x}, \hat{y}) \geq 0 \quad \triangleright \text{Empirical Eq}$$

Measured Value



$x$  is Measured Value

$\hat{x}$  is True Value from model

$V$  is covariance.

“Minimize the **Random Errors**”

“Detect the **Gross Error**”

“Estimate the unmeasured Value”

❖ DR is suitable for **estimating the accurate performance parameter by optimizing the uncertainty and eliminating the gross error.**

❖ DR can be applied by different methodologies depending on the usage environment.

# Data Reconciliation in Steady State

- Measurement is described by additive noise model

$$y = x + v$$

Where  $y$  is a vector of  $n \times 1$  and the  $v$  is a vector of random error.

- If the measurement are given by  $y$ , most likely estimate for  $x$  can be obtained by Maximum Likelihood(ML) function.

$$L(x_k | y_k) = \frac{1}{(2\pi)^{m/2} |V|^{1/2}} \exp \left[ -\frac{1}{2} (y_k - x_k)^T V^{-1} (y_k - x_k)^T \right]$$

- The ML estimation problem is equivalent to minimizing the function.

$$\min(y) = (y - \hat{y})^T V^{-1} (y - \hat{y})$$

$$\text{subject to } f(\hat{y}) = 0, \\ g(\hat{y}) \geq 0$$

Where is the  $V$  is  $M \times M$  covariance matrix. The matrix  $V$  is using the weight matrix for each measurements. The  $\hat{y}$  represent the measured and reconciled value having the  $m \times 1$  vector.

Only one set of data at current time is used and used spatial redundancy.

- Weight Factor for measurements.

$$\min(y) = \sum_{i=1}^n (y_i - x_i)^2 / \sigma_i^2$$

$\sigma_i$  is standard deviation of measurement  $i$ .

- To solve the objective function under the constraint.

$$\mathcal{L}(\hat{y}, \lambda) = (y - \hat{y})^T V^{-1} (y - \hat{y}) - 2\lambda^T f(\hat{y})$$

“Lagrange Multipliers”

- To perform successive linearization by approximating a nonlinear constraint with a linear transformation.

$$\frac{\partial \mathcal{L}}{\partial y} = J(A_y)^T \lambda = 0 \quad \frac{\partial \mathcal{L}}{\partial \lambda} = f(y) = 0$$

“Jacobian Matrix”

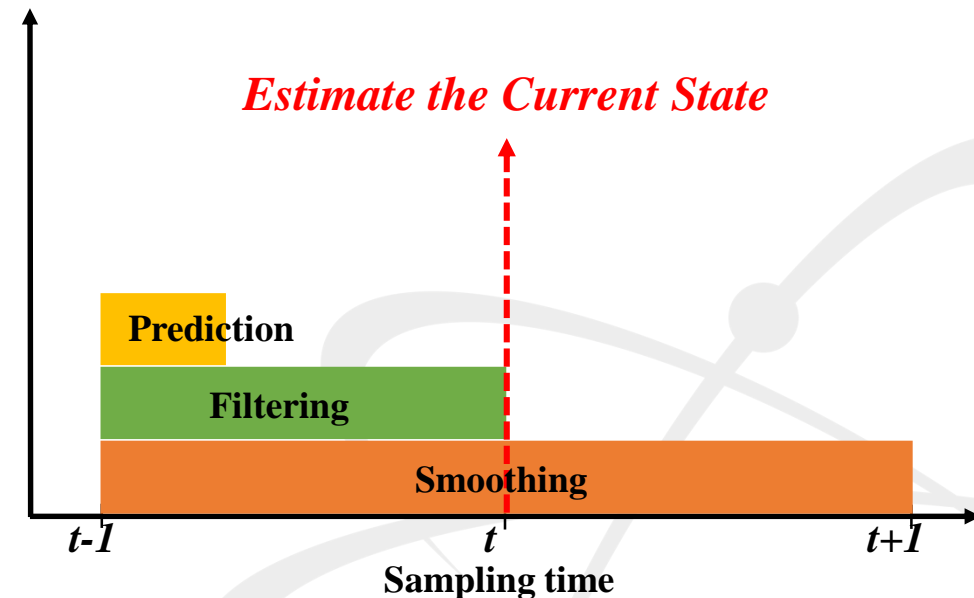
- Find the Reconciled Value.

$$\hat{y} = y - VA^T (AVA^T)^{-1} A_y$$

# Dynamic Data Reconciliation

- ❖ The **Dynamic Data Reconciliation(DDR)** is suitable methodology for estimating the accurate state of time dependent parameters.
- ❖ DDR is a filtering method for estimating the current state using the data from measurement prior to  $t$  to measurement at time  $t$ .

- $x_k = A_k x_{k-1} + B_k u_{k-1} + w_{k-1}$      $\triangleright w \sim N(0, Q)$ 
  - Inaccurate model
  - Uncertainty of model parameters.
  - Inaccurate input value
- $y_k = H_k x_k + v_k$      $\triangleright v \sim N(0, R)$
- $\min f(\hat{y}_k) = \sum_{t=0}^k (y_k - \hat{y}_k)^T R_k (y_k - \hat{y}_k)$      $\hat{y}_k = x_k + w_k$
- $\min f(\hat{y}_k) = (y_k - \hat{y}_k)^T R_{M,k}^{-1} (y_k - \hat{y}_k) + (x_k - \hat{y}_k)^T Q_{S,k}^{-1} (x_k - \hat{y}_k)$
- $\frac{\partial f}{\partial \hat{y}_k} = 2R_{M,k}^{-1} (y_k - \hat{y}_k) - 2Q_{S,k}^{-1} (x_k - \hat{y}_k) = 0$
- $\hat{y}_k = (R_{M,k}^{-1} + Q_{S,k}^{-1})^{-1} (R_{M,k}^{-1} y_k + Q_{S,k}^{-1} x_k)$



$x_k$ : true value of state variables at time  $t$ .  
 $u_k$ : manipulated input  
 $w_k$ : system model disturbance  
 $y_k$ : measured values  
 $v_k$ : measurement error  
 $A$ : equation of system model  
 $B$ : equation of optional control input  
 $H$ : state equation related measurement  $y_k$   
 Subscript  $k$ : time  
 $R_k$ : covariance matrix related measurement  
 $Q_k$ : covariance matrix related system model.

- $A$  is representing the system state
- $H$  is representing the measurement state.
- $v$  and  $w$  are representing the uncertainty.

# Kalman Filter for Dynamic Data Reconciliation.

## Predict (Time Update)

- Prediction from system model

$$\hat{\mathbf{x}}_k^- = \mathbf{A}\hat{\mathbf{x}}_{k-1}^- + \mathbf{B}\mathbf{u}_k$$

- The covariance matrix of system model predictor

$$\mathbf{P}_k^- = \mathbf{A}\mathbf{P}_{k-1}^- \mathbf{A}^T + \mathbf{Q}$$

## Correct (Measurement Update)

- Calculate the Kalman Gain(difference with measurement and predictor of system model).

$$\mathbf{K}_k = \mathbf{P}_k^- \mathbf{H}^T (\mathbf{H}\mathbf{P}_k^- \mathbf{H}^T + \mathbf{R})^{-1}$$

$$\hat{\mathbf{x}}_k = \hat{\mathbf{x}}_k^- + \mathbf{K}_k (\mathbf{Z}_k - \mathbf{H}\hat{\mathbf{x}}_k^-)$$

- Update the estimation error of system model.

$$\mathbf{P}_k = (\mathbf{I} - \mathbf{K}_k \mathbf{H}) \mathbf{P}_k^-$$

- ❖ DDR is estimated by only system model.
- ❖ The system model should be calculated by the numerical solution for estimating the accurate state at every time step.
- ❖ But, kalman filter is updated by reflecting the changed weighting factor between measurement and covariance of system model.
- ❖ Kalman filter is more suitable method for estimating accurate state in dynamic state.

$\hat{\mathbf{x}}_k^-$ : a priori estimate.

$\mathbf{A}$ : equation of system model.

$\mathbf{u}_k$ : manipulated input.

$\mathbf{H}$ : state equation related measurement

$\mathbf{Q}$ : system model error covariance

$\mathbf{P}_k^-$ : a priori estimate error covariance

$\hat{\mathbf{x}}_k$ : a posteriori state estimate.

$\mathbf{B}$ : equation of optional control input.

$\mathbf{Z}_k$ : measurement.

$\mathbf{R}$ : measurement error covariance

$\mathbf{P}_k$ : a posteriori estimate error covariance

Subscript  $k$ : time

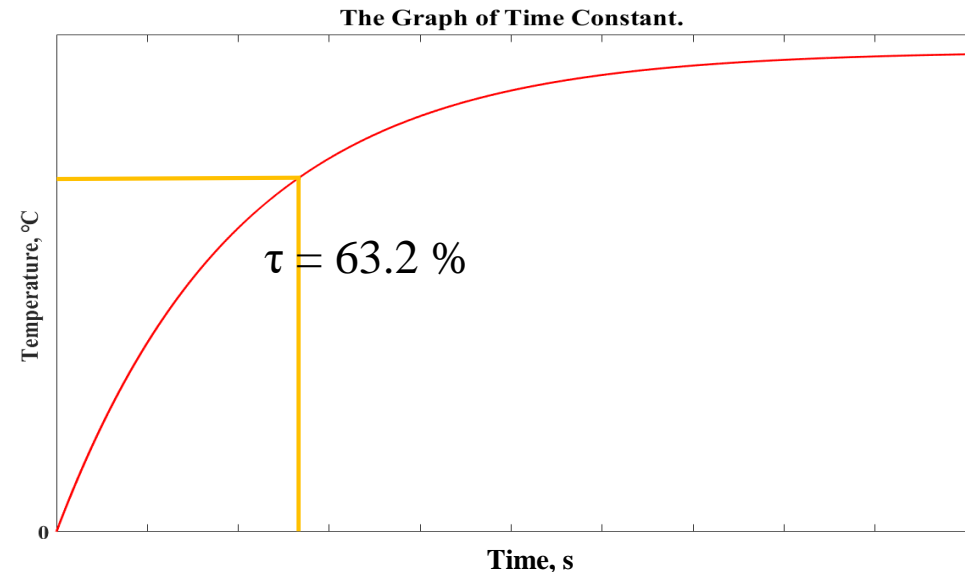


# Case Study: Thermometry on SMRs



- ❖ Temperature is one of the importance parameter for representing the plant state..
- ❖ Thermometry is a most restricted instrument such as an environment and location of SMRs.
- ❖ The Resistance Temperature Detector (RTD) and Thermocouple (TC)
  - Each of thermometry have opposite characteristics
- ❖ According to International Electrotechnical Commission (IEC) 60751 and ASTM E644 standards, the specifications of RTD and TC are below table.

Type	Response time( $\tau$ )	Tolerance
RTD	6 sec	Class A: $\pm (0.15 + 0.002*t)^{\circ}\text{C}$
		Class B: $\pm (0.3 + 0.005*t)^{\circ}\text{C}$
TC	2 sec	Class A: $\pm 1.5^{\circ}\text{C}$ (or $\pm 0.4\%$ )
		Class B: $\pm 2.5^{\circ}\text{C}$ (or $\pm 0.75\%$ )



- ❖ Which thermometry is more suitable for dynamic state

# Case Study: System Model

- ❖ In this study, the one-dimensional heat conduction equation was used as the physical model to demonstrate the DDR.

$$\frac{\partial T}{\partial t} = k \frac{\partial^2 T}{\partial x^2}$$

Where  $T$  is temperature,  $t$  is time,  $x$  is length and  $k$  is thermal conductivity.

- ❖ The finite-difference method was applied to calculate the node-wise temperature distribution

$$T_i^{k+1} = k \frac{\Delta t}{\Delta x^2} T_{i-1}^k \left( 1 - 2k \frac{\Delta t}{\Delta x^2} \right) T_i^k + k \frac{\Delta t}{\Delta x^2} T_{i+1}^k$$

$$A = \begin{bmatrix} \left( 1 - 2k \frac{\Delta t}{\Delta x^2} \right) & k \frac{\Delta t}{\Delta x^2} & & & \\ k \frac{\Delta t}{\Delta x^2} & \left( 1 - 2k \frac{\Delta t}{\Delta x^2} \right) & k \frac{\Delta t}{\Delta x^2} & & \\ & k \frac{\Delta t}{\Delta x^2} & \left( 1 - 2k \frac{\Delta t}{\Delta x^2} \right) & k \frac{\Delta t}{\Delta x^2} & \\ & & k \frac{\Delta t}{\Delta x^2} & \left( 1 - 2k \frac{\Delta t}{\Delta x^2} \right) & \\ & & & k \frac{\Delta t}{\Delta x^2} & \left( 1 - 2k \frac{\Delta t}{\Delta x^2} \right) \end{bmatrix} \begin{bmatrix} T_1^k \\ T_2^k \\ \vdots \\ T_N^k \end{bmatrix}$$

## ❖ Reference Data Set

$T=0$  at  $x=0$ , for  $t>0$

$T = 45^\circ\text{C}$  for  $t=0$ ,  $x>0$

$k = 4.7 \cdot 10^{-7} \text{ m}^2/\text{s}$

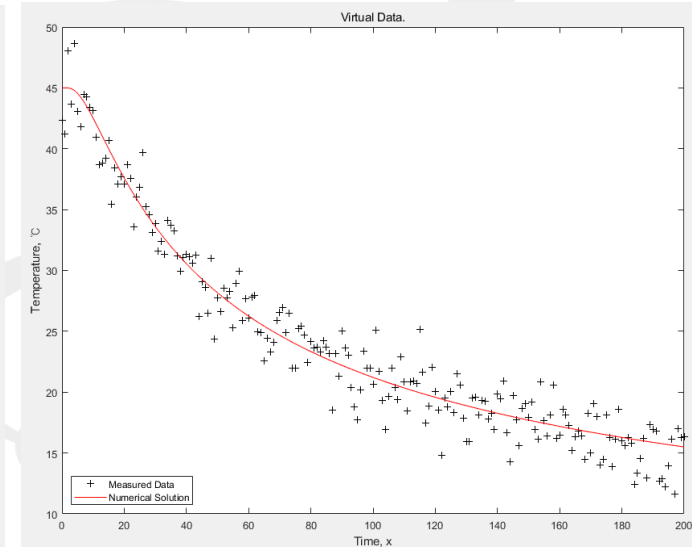
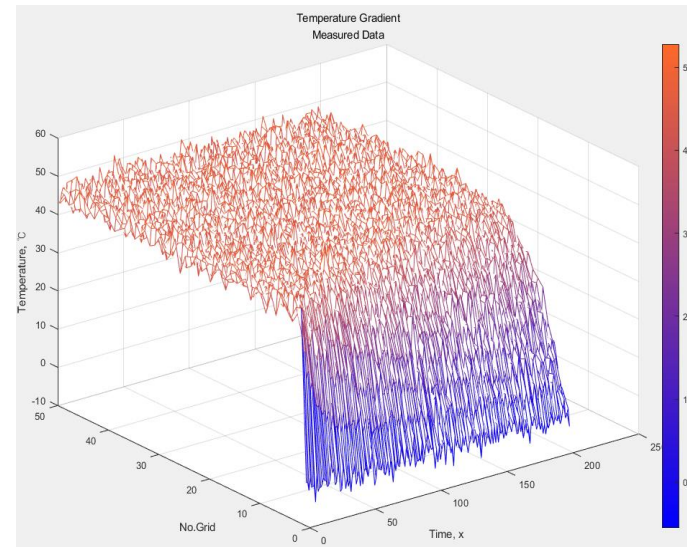
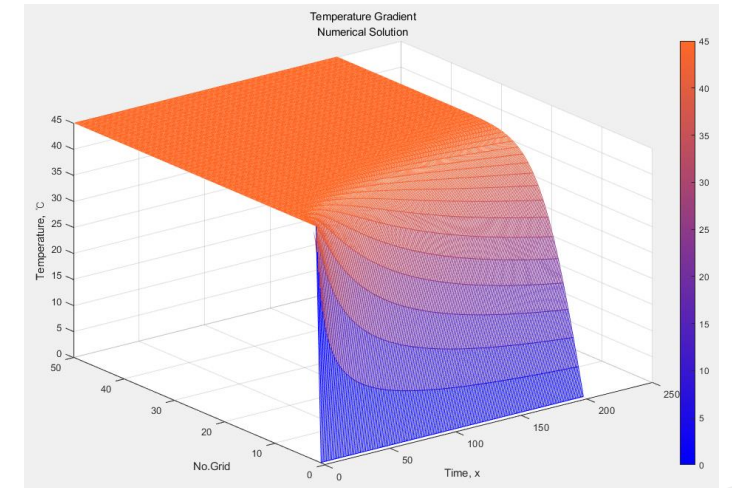
$r = k\Delta t/\Delta x^2$

Final time is 200sec

## ❖ Virtual Data Set

➤ Reference data +  $\sigma_{vk} * randn.$  ( $\sigma_{vk} = 2^\circ\text{C}$ )

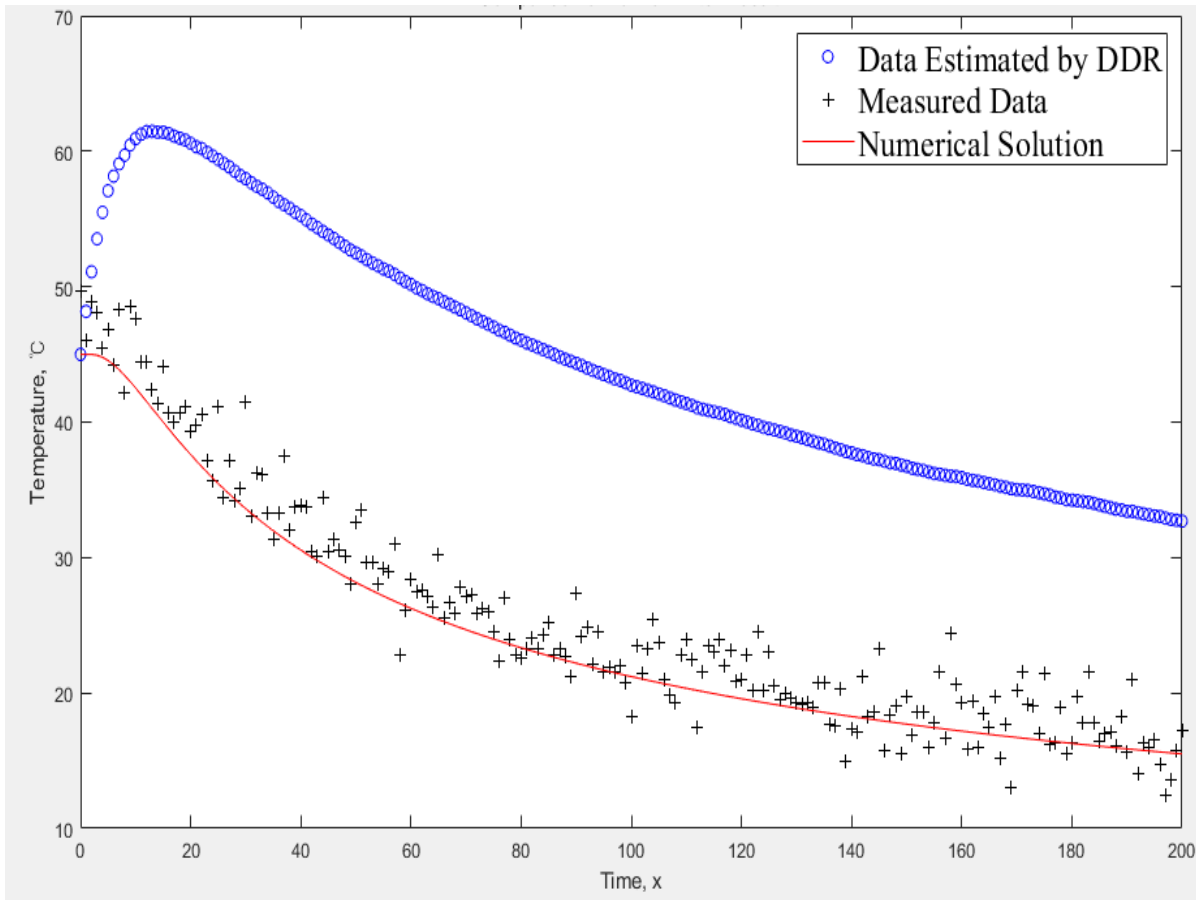
Where *randn* is the normally distributed random numbers



# Results(1)

## ❖ Result of Case 1. ( $\sigma_s = 0.1, \sigma_y = 2, \sigma_i = 0.8 + rand, H = 0.5$ )

where  $\sigma_s$  is Standard Deviation of System Model,  $\sigma_y$  is Standard Deviation of Measured Value,  $\sigma_i$  is Standard deviation with random value following the Gaussian distribution, and  $H$  is the state of measurement.

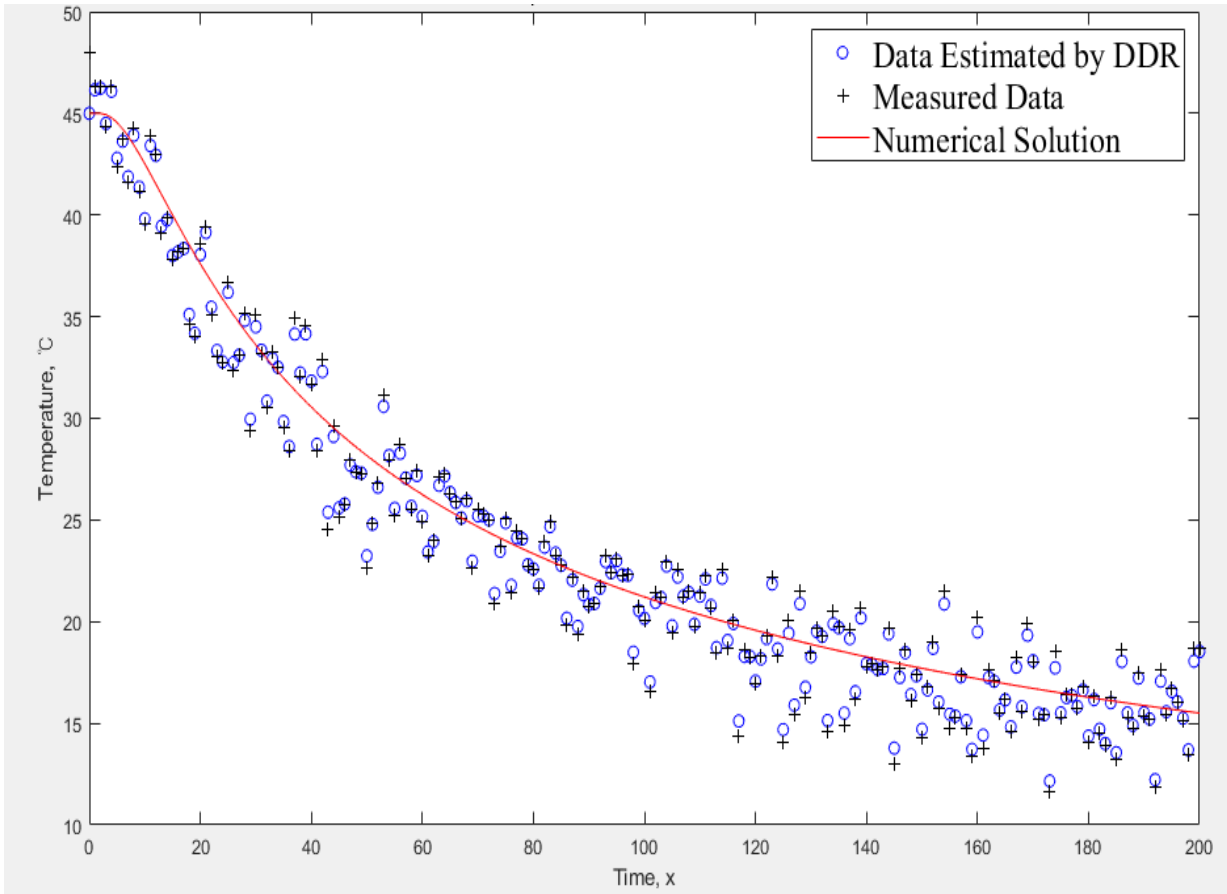


- This case is representing the state of measurement having a high uncertainty
- $y_k = H_k x_k + v_k$
- Case 1 is significantly getting out of the numerical solution(true value).
- It can not represent an accurate state due to the information of inaccurate measurement.

# Results(2)

## ❖ Result of Case 2. ( $\sigma_s = 5, \sigma_y = 2, \sigma_i = 0.8 + rand, H = 1$ )

where  $\sigma_s$  is Standard Deviation of System Model,  $\sigma_y$  is Standard Deviation of Measured Value,  $\sigma_i$  is Standard deviation with random value following the Gaussian distribution, and  $H$  is the state of measurement.

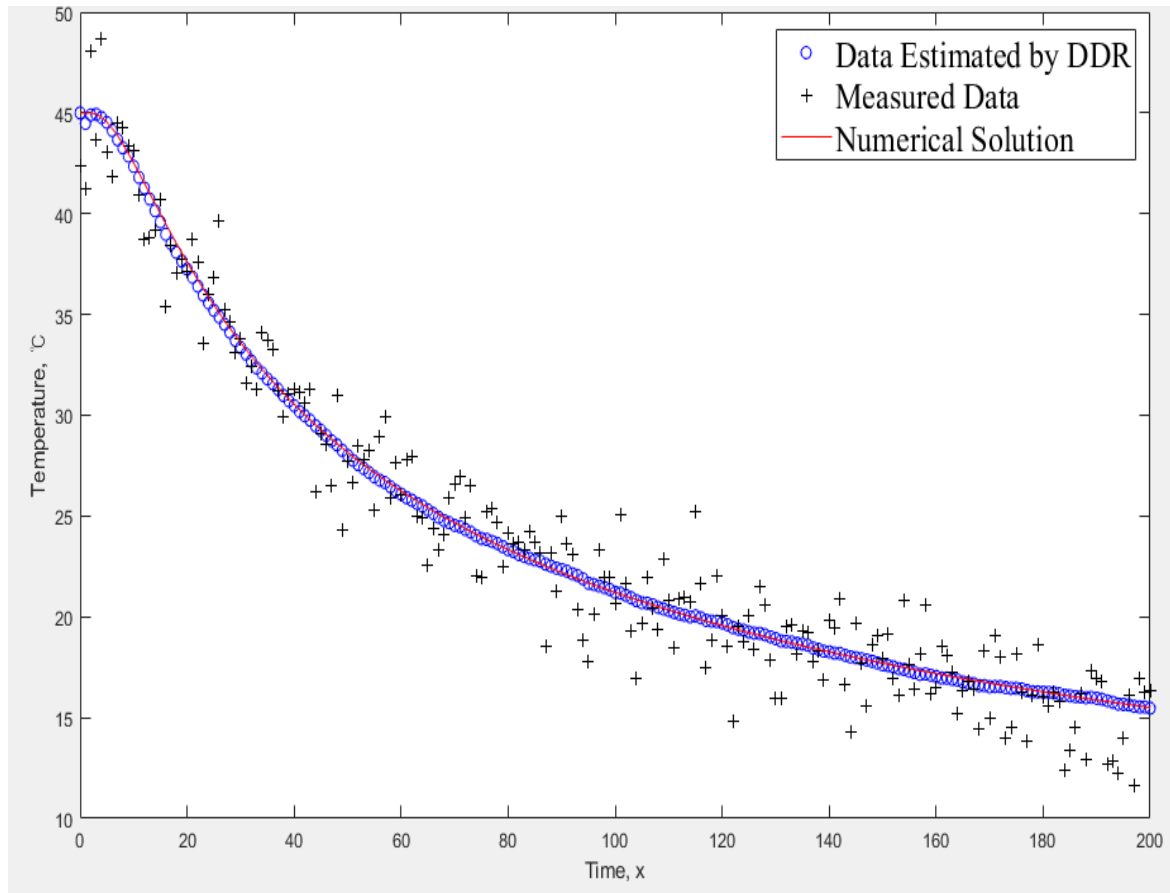


- Case 2 is representing the inaccurate system state.
- $x_k = A_k x_{k-1} + B_k u_{k-1} + w_{k-1}$
- If the accuracy of the system state is decreased, it is difficult to estimate the accurate state.
- when  $\sigma_s$  becomes larger than  $\sigma_y$ , the  $w_y$  (weight of the measurement) is increased and the estimation is following the measure.

# Results(3)

## ❖ Result of Case 3. ( $\sigma_s = 0.1$ , $\sigma_y = 2$ , $\sigma_i = 0.8 + \text{rand}$ , $H = 1$ )

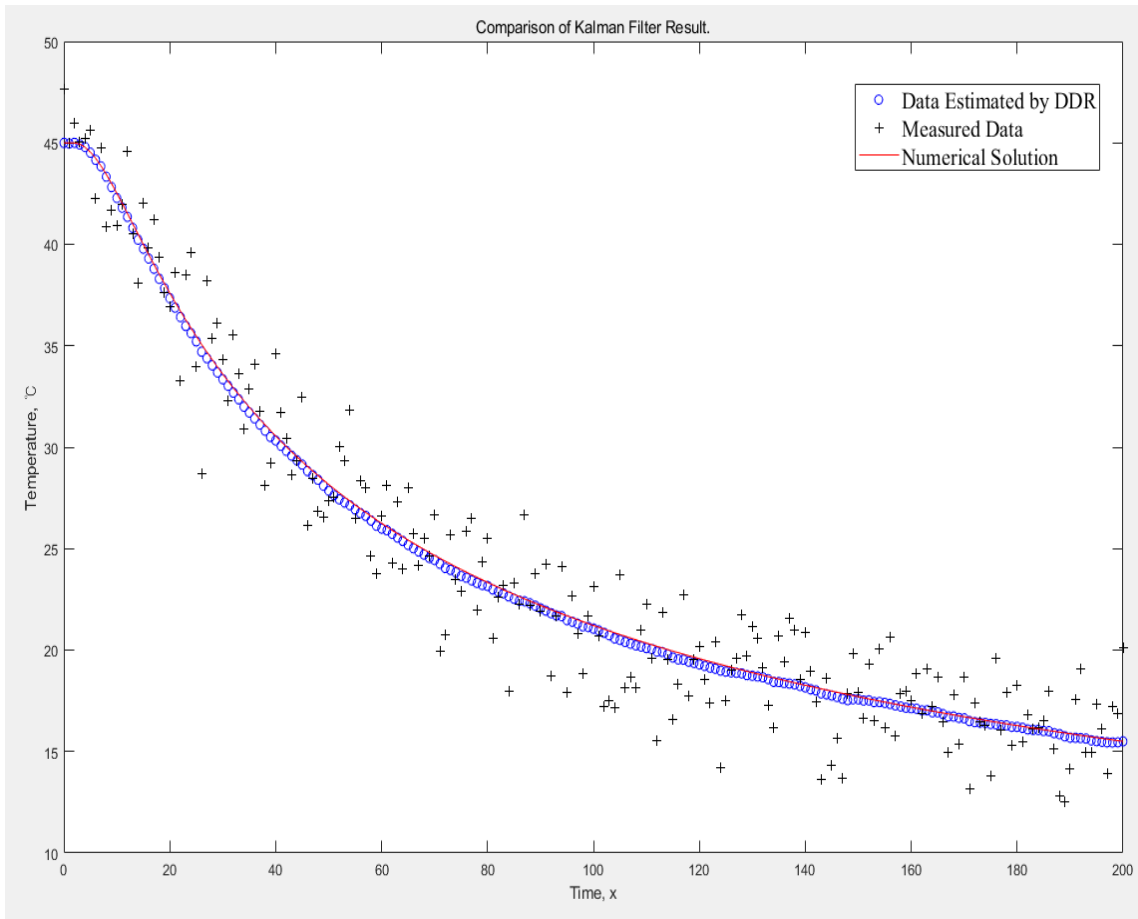
where  $\sigma_s$  is Standard Deviation of System Model,  $\sigma_y$  is Standard Deviation of Measured Value,  $\sigma_i$  is Standard deviation with random value following the Gaussian distribution, and  $H$  is the state of measurement.



- Estimated measurements by DDR are close to the true value
  - The uncertainty of measurements is minimized.
- If the system state is accurate, the estimating state is more and more close to the true state.
- We should consider what is more accurate.?
  - Inaccurate measurement by system state or Uncertain measurement by response time.?
  - In other to estimate an accurate state in dynamic state, the dynamic compensation is necessary at every seconds.

# Results(4)

## ❖ Result of Regression Analysis on Case 1



- Of course, the dynamic compensation is used for estimating a state in NPPs.
  - But, It is used a historical data set in certain period.
- In order to estimate a more accurate state in dynamic, the data closed to the current state should be used rather than historical data
- Performed regression analysis using the sampling data within 1 second.
- In case of thermometry, since there is time constant equation, the value of accurate state can be estimated by regression analysis.

# Conclusion

- ❖ This study is tried to an sensitivity analysis of the thermometry used in SMR by applying a DDR technique.
  - Inaccurate system state.
  - Uncertain measurement.
- ❖ According to results, the inaccurate measurement is more reliable than uncertain measurement.
- ❖ However, if an uncertain measurement is compensated by regression method, it is more accurate than an inaccurate measurement.
- ❖ In result 3, it is possible to estimate an accurate state through DDR.
- ❖ Further study
  - DDR will be performed to estimate the accurate state applying the actual system of the SMR.
    - In order to overcome various problems occurred by uncertainty of process variables in SMR.

# THANK YOU



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